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## External Reflection from Omnidirectional Dielectric Mirror Fibers

Shandon D. Hart,<sup>1</sup> Garry R. Maskaly,<sup>1</sup> Burak Temelkuran,<sup>1,3</sup> Peter H. Pridoux,<sup>3</sup> John D. Joannopoulos,<sup>2,3</sup> Yoel Fink<sup>1,3\*</sup>

We report the design and fabrication of a multilayered macroscopic fiber preform and the subsequent drawing and optical characterization of extended lengths of omnidirectional dielectric mirror fibers with submicrometer layer thickness. A pair of glassy materials with substantially different indices of refraction, but with similar thermomechanical properties, was used to construct 21 layers of alternating refractive index surrounding a tough polymer core. Large directional photonic band gaps and high reflection efficiencies comparable to those of the best metallic reflectors were obtained. Potential applications of these fibers include woven fabrics for radiation barriers, spectral authentication of cloth, and filters for telecommunications.

Polymer fibers are ubiquitous in applications such as textile fabrics because of their excellent mechanical properties and the availability of low-cost, high-volume processing techniques; however, control over their optical properties has so far remained relatively limited. Conversely, dielectric mirrors are used to precisely control and manipulate light in high-performance optical applications, but the fabrication of these typically fragile mirrors has been mostly restricted to planar geometries and remains costly. We combined some of the advantages of each of these seemingly dissimilar products in the fabrication of polymeric fibers with an exterior multilayer dielectric mirror. Thermal processing techniques were used to reduce a macroscopic layered dielectric structure to submicrometer length scales, creating a fiber having a photonic band gap in the mid-infrared (mid-IR). Where previous experimental and theoretical work on multilayer fibers has focused on the purpose of light transmission through a hollow core (1–3), we used multiple dielectric layers on the exterior of a mirror fiber to create the potential for new conformal reflector functionality (4). These fibers could be incorporated into woven fabrics for precise spectral identity verification, such as a unique optical bar code; they could also be used as

flexible radiation barriers or as filters in telecommunications.

A typical dielectric mirror (also called a one-dimensional photonic crystal) is a planar stack of dielectric layers made of two alternating materials with different refractive indices. Although these mirrors do not possess a complete photonic band gap, it has been shown that they can be designed to efficiently reflect light of all incident angles and polarizations across broad, selectable frequency ranges (5, 6). This advance has inspired interest in the use of omnidirectional dielectric mirrors in applications requiring optimal confinement or reflection of light at all external angles, such as optical cavities or hollow waveguides. The theory and properties of planar multilayer dielectric mirrors and omnidirectional reflectors have been explored elsewhere (5–10).

The degree of use of all types of dielectric mirrors has been impeded by the cost and complexity associated with their fabrication and by the difficulties associated with depositing these mirrors on nonplanar surfaces. Weber and co-workers (11) reported the fabrication of free-standing, graded-thickness polymeric dielectric mirrors with relatively low-refractive-index contrast between adjacent birefringent layers. The system employed in our work uses amorphous materials having high-refractive-index contrast. This has some advantages over a low-contrast structure in that the evanescent decay lengths and electric field power densities of reflected electromagnetic (EM) waves are much smaller in the mirror stack, making it

<sup>1</sup>Department of Materials Science and Engineering,

<sup>2</sup>Department of Physics, <sup>3</sup>Research Laboratory of Electronics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA.

\*To whom correspondence should be addressed.

possible to achieve high reflectivity with relatively few layers. The reduced material-light interaction brought about by this high-refractive-index contrast also reduces material absorption. One strategy commonly used to achieve high-index contrast has been to fabricate porous photonic crystal structures with air as the low-index component (12). Although this approach yields large-index contrast, there remain some limitations associated with the formation of large interfacial areas prone to contamination and interconnected structures that may be mechanically weak. The materials system we describe here could be used to create high-index-contrast photonic crystal structures having periodicity along one, two, or three axes (13); these structures may be mechanically tough and need not maintain high physical connectivity within either the high- or low-index component.

We employed a three-pronged approach in omnidirectional dielectric mirror fiber production, consisting of materials identification, fiber preform construction, and fiber draw. Materials selection involved the empirical identification of a pair of amorphous materials, poly(ether sulfone) (PES) and arsenic triselenide ( $As_2Se_3$ ), which have substantially different refractive indices, yet similar thermomechanical properties within a certain thermal processing window. Amorphous or glassy materials lack the abrupt change in viscosity with temperature associated with crystalline materials at their melting point, because of the absence of a first-order thermodynamic transition when a melt is cooled to the glassy state. This continuous viscosity variation is one of the most important factors that allow inorganic glasses and organic thermoplastics to be formed by high-speed thermal processes such as drawing, blowing, pressing, and extrusion (14, 15). In order to use similar processes in the fabrication of omnidirectional mirror structures, the selected materials should exhibit

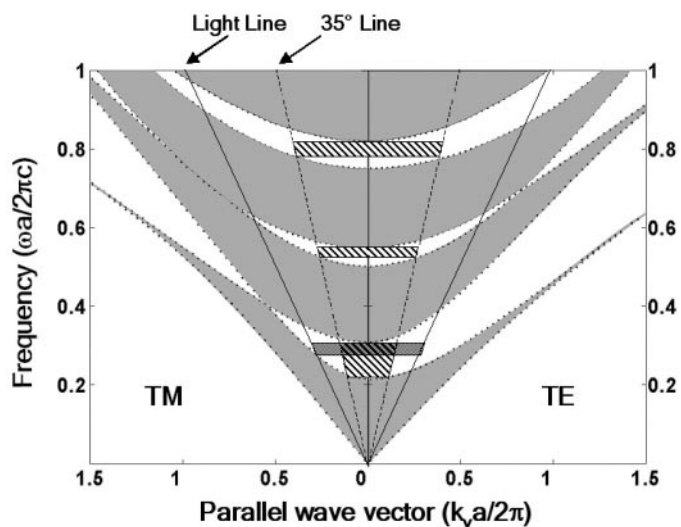
low optical absorption over a common wavelength band, very similar viscosities at the processing temperatures of interest, and good adhesion/wetting without cracking, even when subjected to thermal quenching.

Adhesion and extensional viscosity under melt conditions are difficult to measure in general, and the measurement of high-temperature surface tension is quite involved (16). Thus, limited data on these properties are available, and it was necessary to empirically identify materials that could be used to draw our mirror fibers. Various high-index chalcogenide (S-, Se-, and Te-containing) glasses and low-index polymers were identified as potential candidates on the basis of their optical properties and overlapping thermal softening regimes. Adhesion and viscosity matching were tested by thermal evaporation of a chalcogenide glass layer on top of a polymer film or rod and elongation of the coated substrate at elevated temperatures. The choice of a high-temperature polymer, PES, and a simple chalcogenide glass,  $As_2Se_3$ , resulted in excellent thermal co-deformation without film cracking or delamination. Approximate matching of extensional viscosity in this manner was also demonstrated with  $As_2Se_3$  and poly(ether imide). The properties, processing, and applications of chalcogenide glasses have been explored extensively elsewhere (17–21). One advantage in choosing  $As_2Se_3$  for this application is that not only is it a stable glass, but it is a stoichiometric compound that can be readily deposited in thin films through thermal evaporation or sputtering without dissociation (17). Additionally,  $As_2Se_3$  is transparent to IR radiation from approximately 0.8 to 17  $\mu\text{m}$  and has a refractive index of  $\sim 2.8$  in the mid-IR (22). PES is a high-performance, dimensionally stable thermoplastic with a refractive index of  $\sim 1.55$  and good transparency to EM waves in a range extending from the visible regime into the mid-IR (23, 24).

The selected materials were used to construct a multilayer preform rod, which essentially is a macroscale version of the final fiber. To fabricate the dielectric mirror fiber preform, we deposited an  $As_2Se_3$  film through thermal evaporation on either side of a free-standing PES film, which was then rolled on top of a PES tube substrate, forming a structure with 21 alternating layers of PES and  $As_2Se_3$ , using only four vapor deposition steps (25). The resulting multilayer fiber preform was subsequently thermomechanically drawn down with an optical fiber draw tower (14, 26) into hundreds of meters of multilayer fiber with precisely controlled submicrometer layer thickness, creating a photonic band gap in the mid-IR. Fibers of outer diameters (ODs) varying from 175 to 500  $\mu\text{m}$  with a typical standard deviation of 10  $\mu\text{m}$  from target were drawn from the same preform to demonstrate adjustment of the reflectivity spectra through thermal deformation. The spectral position of the photonic band gap was controlled by the optical monitoring of the OD of the fiber during draw, which was later verified by reflectivity measurements on single and multiple fibers of different diameters.

In theoretically predicting the spectral response of these fibers, it is helpful to calculate the photonic band structure that corresponds to an infinite one-dimensional photonic crystal (Fig. 1); this allows for the analysis of propagating and evanescent modes in the structure, corresponding to real or imaginary Bloch wave number solutions (5–7). The electric or magnetic field vector is parallel to the mirror layer interfaces for the transverse electric and transverse magnetic polarized modes, respectively. The parallel wave vector  $k_y$  is the component of the incident EM wave vector that is parallel to the layer interfaces. The phase space accessible from an external ambient medium is contained between the light lines (defined by the glancing-angle condition  $\omega = ck_y/n_0$ , where  $c$  is the speed of light in a vacuum, and  $n_0$  is the refractive index of the ambient medium), and the modes between the 35° lines correspond

**Fig. 1.** Photonic band diagram for a one-dimensional photonic crystal having a periodic refractive index alternating between 2.8 and 1.55. Gray regions represent propagating modes within the structure, and white regions represent evanescent modes. Hatched regions represent photonic band gaps where high reflectivity can be expected for external EM waves over an angular range extending from normal to 35° incidence. The shaded trapezoid represents a region of external omnidirectional reflection. TM and TE represent transverse magnetic and transverse electric polarized modes, respectively.



**Table 1.** Calculated and experimental photonic band gap positions for fibers drawn to a 400- $\mu\text{m}$  OD. Calculated values were obtained with the normalized frequency values of the photonic band diagram (Fig. 1), together with the bilayer thickness of  $a = 0.90 \mu\text{m}$  measured through SEM imaging. Experimental values were obtained from spectral measurements (Fig. 3A).

Photonic band gap	Calculated central wavelength ( $\mu\text{m}$ )	Experimental central wavelength ( $\mu\text{m}$ )
First	3.35	3.4
Second	1.7	1.65
Third	1.1	1.1



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to those sampled experimentally. Axes are normalized to the thickness  $a$  of one mirror bilayer (a period consisting of one high-index

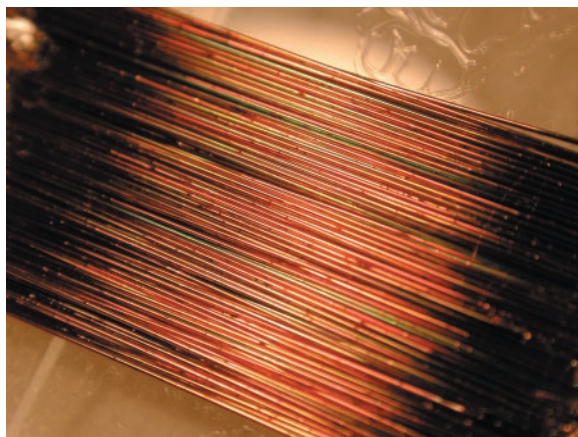
and one low-index layer). The photonic band diagram for an infinite structure having periodicity and refractive indices similar to those

of the fabricated mirror structures is shown in Fig. 1. Three photonic band gaps are present where high reflectivity is expected within the 0 to 35° angular range, and the fundamental gap contains a region of external omnidirectional reflectivity.

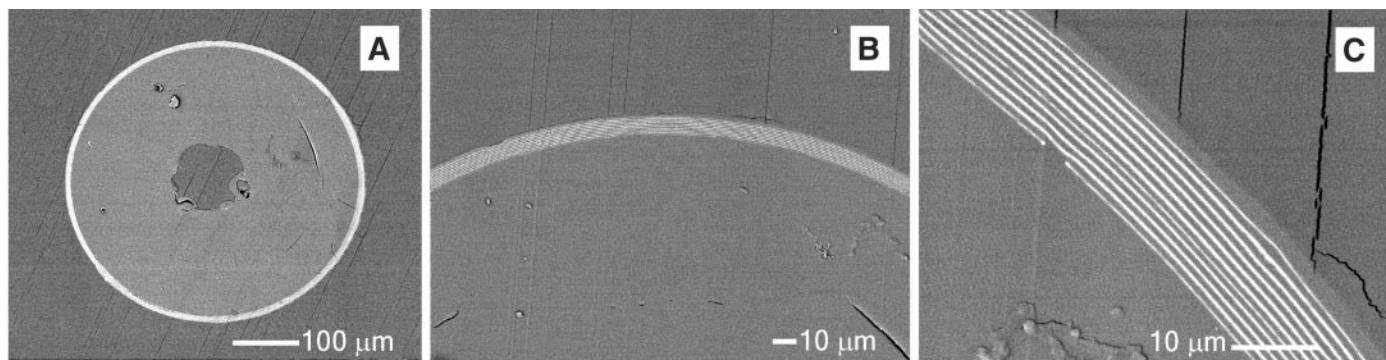
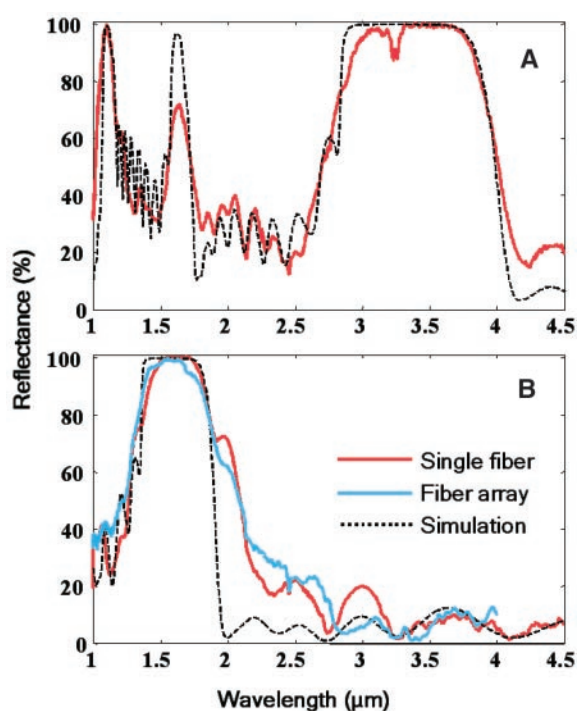
Mirror fiber reflectivity was measured from both single fibers and parallel fiber arrays (Fig. 2) with a Nicolet/SpectraTech NicPlan infrared microscope and Fourier transform infrared spectrometer (Magna 860). The microscope objective (SpectraTech  $\times 15$ , Replachromat) used to focus on the fibers had a numerical aperture (NA) of 0.58. This resulted in a detected cone where the angle of reflection with respect to the surface normal of the structure could vary from normal incidence to  $\sim 35^\circ$ , which is determined by the NA of the microscope objective (27). As a background reference for the reflection measurements, we used gold-coated PES fibers of matching diameters. Dielectric mirror fibers drawn to a 400- $\mu\text{m}$  OD exhibited a very strong reflection band centered at a wavelength of 3.4  $\mu\text{m}$  (Fig. 3A). Measured reflectivity spectra agree well with planar-mirror transfer matrix method simulations [see, for example, (28)], where the reflectivity was averaged across the aforementioned angular range for both polarization modes. Fibers drawn down to a 200- $\mu\text{m}$  OD show a similar strong fundamental reflection band centered near 1.7  $\mu\text{m}$  (Fig. 3B). This shifting of the primary photonic band gap illustrates the precise tuning of the reflectivity spectra over wide frequency ranges through thermal deformation processing. Strong optical signatures are measurable from single fibers as small as 200  $\mu\text{m}$  in OD. Fiber array measurements, simultaneously sampling reflected light from multiple fibers, agree well with single-fiber data (Fig. 3B).

These reflectivity results are strongly indicative of uniform layer thickness control, good interlayer adhesion, and low interdiffusion through multiple thermal treatments. This was confirmed by scanning electron microscope (SEM) inspection of fiber cross sec-

**Fig. 2.** Parallel fiber array made from 200- $\mu\text{m}$ -OD fibers used to obtain reflectivity data presented in Fig. 3B. Sections of  $\sim 5\text{-cm}$  length were cut from a single continuous fiber to make the array. Although  $\text{As}_2\text{Se}_3$  is highly absorbing in the visible regime, some iridescent colors are visible to the naked eye.



**Fig. 3.** Measured reflectance spectra for (A) 400- $\mu\text{m}$ -OD and (B) 200- $\mu\text{m}$ -OD dielectric mirror fibers relative to gold-coated fibers of the same diameter. A single-fiber reflectivity measurement is shown in (A), whereas (B) compares single-fiber reflectivity to that measured from a multifiber array. Simulations were performed with the transfer matrix method.



**Fig. 4.** (A to C) SEM micrographs of a 400- $\mu\text{m}$ -OD fiber cross section embedded in epoxy. The entire fiber cross section is shown in (A), with mirror structure surrounding the PES core; (B) demonstrates that most of the fiber exterior is free of substantial defects and that the mirror structure adheres well to the fiber substrate; and (C) reveals

the ordering and adhesion within the alternating layers of  $\text{As}_2\text{Se}_3$  (bright layers) and PES. Stresses developed during sectioning caused some cracks in the mounting epoxy that are deflected at the fiber interface. Fibers from this batch were used in the reflectivity measurements recorded in Fig. 3A.

tions (Fig. 4). The layer thicknesses observed ( $a = 0.90 \mu\text{m}$  for the 400- $\mu\text{m}$  fibers;  $a = 0.45 \mu\text{m}$  for the 200- $\mu\text{m}$  fibers) correspond well to the measured reflectivity spectra. The fibers have a hole in the center, because of the choice of a hollow rod as the preform substrate, which experienced some nonuniform deformation during draw. The rolled-up mirror structure included a double outer layer of PES for mechanical protection, creating a noticeable absorption peak in the reflectivity spectrum at  $\sim 3.2 \mu\text{m}$  (Fig. 3A).

A combination of spectral and direct imaging data demonstrates excellent agreement with the photonic band diagram. Table 1 summarizes this agreement for the 400- $\mu\text{m}$ -OD fibers by comparing the calculated photonic band gap locations shown in Fig. 1, together with the SEM-measured period spacing of  $a = 0.90 \mu\text{m}$ , to the empirical spectral reflection data shown in Fig. 3A. The measured gap width (range to midrange ratio) of the fundamental gap for the 400- $\mu\text{m}$ -OD fiber is 27%, compared to 29% in the photonic band diagram.

In light of these results, we can evaluate the relative importance of various physical properties in creating these drawn omnidirectional dielectric mirror fibers. The matching of rheological behavior in elongation at the draw temperature is the key factor in our fiber production. At the draw temperature, the materials should be fluid enough to elongate without sizable stress buildup, yet not so fluid that the mirror layers lose their periodicity. Coefficient of thermal expansion (CTE) may also play an important role in the adhesion and integrity of thin films during any thermal quenching procedure. PES has a linear CTE of  $55 \times 10^{-6}/^\circ\text{C}$  (23), whereas  $\text{As}_2\text{Se}_3$  has a linear CTE of  $25 \times 10^{-6}/^\circ\text{C}$  (22). In the mirror fiber geometry described here, this CTE mismatch could act to strengthen the outer  $\text{As}_2\text{Se}_3$  layers by placing them in compression as the PES core of the fiber cools and contracts well below the glass transition of  $\text{As}_2\text{Se}_3$ . This materials combination facilitated the thermal fabrication of high-performance dielectric mirrors in a conformal, flexible fiber geometry.

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film was then rolled onto the outside of the previously fabricated PES tube and consolidated with a similar vacuum thermal treatment. The hollow Pyrex tube at the core of the PES/ $\text{As}_2\text{Se}_3$  structure was then etched out with hydrofluoric acid.

26. The preform was lowered at a controlled rate into a three-zone vertical tube furnace (Thermcraft) and pulled from below at controlled speeds with a motorized capstan (Heathway). Fiber diameters were monitored with laser diameter monitors (Beta Laser-Mike). Fibers were drawn at a central-zone maximum temperature of  $295^\circ\text{C}$  at speeds ranging from approximately 1 to 10 m/min, depending on target fiber diameter. The upper zone of the furnace was heated to  $240^\circ\text{C}$ , and the lower zone was unheated, but drifted to a temperature of  $\sim 150^\circ\text{C}$ .
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## Superplumes from the Core-Mantle Boundary to the Lithosphere: Implications for Heat Flux

Barbara Romanowicz,\* Yuancheng Gung

Three-dimensional modeling of upper-mantle anelastic structure reveals that thermal upwellings associated with the two superplumes, imaged by seismic elastic tomography at the base of the mantle, persist through the upper-mantle transition zone and are deflected horizontally beneath the lithosphere. This explains the unique transverse shear wave isotropy in the central Pacific. We infer that the two superplumes may play a major and stable role in supplying heat and horizontal flow to the low-viscosity asthenospheric channel, lubricating plate motions and feeding hot spots. We suggest that more heat may be carried through the core-mantle boundary than is accounted for by hot spot fluxes alone.

Global seismic tomography aims to improve our understanding of mantle dynamics by providing constraints on three-dimensional (3D) temperature and composition with the use of

Seismological Laboratory, University of California, Berkeley, 215 McCone Hall, Berkeley, CA 94720, USA.

\*To whom correspondence should be addressed. E-mail: barbara@seismo.berkeley.edu

elastic velocities as proxies. Much progress has been made in recent years in resolving increasingly finer details in the 3D distribution of elastic velocities from the inversion of seismic phase and travel time data ( $I-3$ ). In particular, regions of faster-than-average velocity, associated with subduction around the Pacific rim, have revealed a variety of behaviors of lithospheric slabs in the transition zone, some stag-