

define a variable-length code; we further require the encoder-decoder pair to be instantaneous.

A (variable-length) encoder-decoder is applied as follows. Let $(A, B) = (f_E(X_1^n), f_E(Y_1^n))$, inducing random variables A and B that are drawn from the alphabet \mathcal{V}^* . Also let $(\hat{X}_1^n, \hat{Y}_1^n) = (f_D(A), f_D(B))$.

Block Codes: A block encoder for X with parameters (n, K) is a mapping $f_E^{(X)} : \mathcal{W}^n \rightarrow \mathcal{V}^{nK}$, and a block encoder for Y with parameters (n, L) is a mapping $f_E^{(Y)} : \mathcal{W}^n \rightarrow \mathcal{V}^{nL}$. Two encoders are specified for block coding to allow different levels of compression. Given these encoders, a common decoder with parameter n is $f_D : \mathcal{V}^* \rightarrow \mathcal{W}^n$. The encoders and decoder define a block code. Since there is a common decoder, both codes should be in the same format.

A (block) encoder-decoder with parameters (n, K, L) is applied as follows. Let $(A, B) = (f_E^{(X)}(X_1^n), f_E^{(Y)}(Y_1^n))$, inducing random variables $A \in \mathcal{V}^{nK}$ and $B \in \mathcal{V}^{nL}$. Also let $(\hat{X}_1^n, \hat{Y}_1^n) = (f_D(A), f_D(B))$.

For both variable-length and block coding, the error rate Δ is defined as usual. Conventional performance criteria for the codes are the per-letter average lengths of codewords

$$K = \frac{1}{n} E[\ell(A)] \text{ and } L = \frac{1}{n} E[\ell(B)],$$

where $\ell(\cdot)$ denotes the length of a sequence in \mathcal{V}^* . The final performance measure captures our novel concern with the cost of changing the coded representation. The malleability cost is the expected per-source-letter edit distance between the codes:

$$M = \frac{1}{n} E[d(A, B)].$$

Definition 2: Given a source $p(X, Y)$ and an edit distance d , a triple (K_0, L_0, M_0) is said to be *achievable* for the variable-length coding problem if, for arbitrary $\epsilon > 0$, there exists (for n sufficiently large) a variable-length code with error rate $\Delta = 0$, average codeword lengths $K \leq K_0 + \epsilon$, $L \leq L_0 + \epsilon$, and malleability $M \leq M_0 + \epsilon$.

Definition 3: Given a source $p(X, Y)$ and an edit distance d , a triple (K_0, L_0, M_0) is said to be *achievable* for the block coding problem if, for arbitrary $\epsilon > 0$, there exists (for n sufficiently large) a block code with error rate $\Delta < \epsilon$, average codeword lengths $K \leq K_0 + \epsilon$, $L \leq L_0 + \epsilon$, and malleability $M \leq M_0 + \epsilon$.

For the variable-length problem, the set of achievable rate-malleability triples is denoted \mathfrak{P}_V ; for the block version, the corresponding set is denoted \mathfrak{P}_B . It follows from the definition that \mathfrak{P}_V and \mathfrak{P}_B are closed subsets of \mathbb{R}^3 and have the property that if $(K_0, L_0, M_0) \in \mathfrak{P}$, then $(K_0 + \epsilon_1, L_0 + \epsilon_2, M_0 + \epsilon_3) \in \mathfrak{P}$ for any $\epsilon_i \geq 0$, $i = 1, 2, 3$. Consequently, \mathfrak{P}_V and \mathfrak{P}_B are completely defined by their lower boundaries, which too are closed.

Returning to Fig. 1, for given $p(X, Y)$ the malleability constraint defines what is achievable in terms of $p(A, B)$ with the additional constraints of lossless or near lossless maps between X_1^n and A , and between Y_1^n and B . An alternative formulation as a mapping between two metric spaces \mathcal{W}^n and \mathcal{V}^* is also possible.

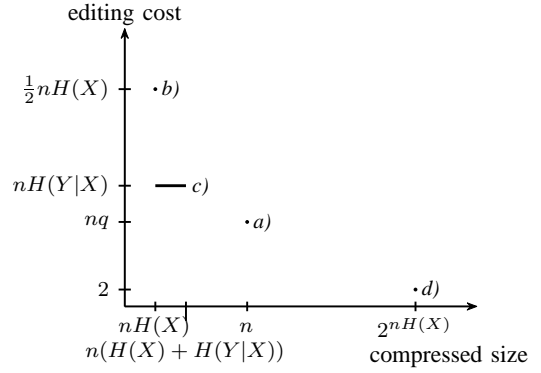


Fig. 2. Qualitative representation of the four simple techniques of Section III. For ease of representation, $H(X) = H(Y)$ is assumed. The relative orderings of points are based on $H(Y|X) \ll H(X)$; this reflects the natural case where the editing operation is of low complexity relative to the original string.

III. EASILY ACHIEVED POINTS

To motivate the exposition, first consider four examples of how one might trade off between compression and malleability. This informal presentation is summarized in Fig. 2.

a) *No compression:* Taking $A = X$ and $B = Y$, it follows immediately that $K = 1$ and $L = 1$ and that the malleability cost is $M = E[d(X, Y)]$. If we take the edit distance to be the Hamming distance, then $M = \Pr[X \neq Y] \triangleq q$. Thus the triple $(K, L, M) = (1, 1, q)$ is achievable.

b) *Fully compress X_1^n and Y_1^n :* One might naively apply an optimal source code. If the updating process $p_{Y|X}$ is stationary, then a common instantaneous code may be used to asymptotically achieve $K = H(X)$ and $L = H(Y)$; if not, then some rate loss is incurred [9]. It seems, however, that a large portion of the codeword must be changed—perhaps about half the symbols—so as to represent Y_1^n .

c) *Fully compress X_1^n and an increment:* One might optimally compress the update separately and append it to the representation of X_1^n . The new representation has length $n(H(X) + H(Y|X)) \geq nH(Y)$ bits. The extended Hamming malleability cost is $nH(Y|X)$ symbols.

d) *Completely favor malleability over compression:* Another coding scheme (due to R. G. Gallager) dramatically trades compression for malleability. The source X_1^n is encoded with $2^{nH(X)}$ symbols, using an indicator function to denote which typical sequence was observed. The same strategy is used to encode Y_1^n , using $2^{nH(Y)}$ symbols. Updating requires substituting only two symbols when X_1^n and Y_1^n are different.

The coding schemes we develop will perform better than the schemes depicted in Fig. 2.

IV. CODING WITH GRAPH EMBEDDING

In this section, we develop a method of coding based on graph embedding and Gray codes. We then construct examples that show improved performance over naive schemes.

Before proceeding, consider some lower bounds for arbitrary sources $p(X, Y)$. From the source coding theorems, $K \geq H(X)$ and $L \geq H(Y)$. Since distinct codewords must

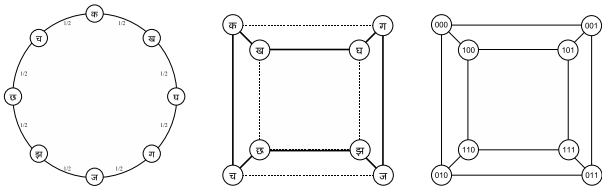


Fig. 3. (a) Weighted adjacency graph for noisy typewriter channel. (b) Graph embedded in 3-dimensional hypercube. Thick lines represent edges that are used in the embedding; dotted lines represent edges in the hypercube that are unused in the embedding. (c) Hypercube graph labeled with binary reflected Gray code.

have an edit distance of at least one, we can lower bound M by assuming that minimal distance. Then edit distance is simply the probability of error for uncoded transmission. For $n = 1$, $M \geq \sum_{x \in \mathcal{W}} \sum_{y \in \mathcal{W}: y \neq x} p(x, y)$ and more generally,

$$M \geq \frac{1}{n} \sum_{x_1^n \in \mathcal{W}^n} \sum_{y_1^n \in \mathcal{W}^n: y_1^n \neq x_1^n} p(x_1^n, y_1^n). \quad (1)$$

A weaker, simplified version of the bound is $M \geq \frac{1}{n}$, which is a worst-case measure.

Now we construct an example that simultaneously achieves the rate lower bounds and the malleability lower bound (1). Consider a memoryless, equiprobable source $p(x)$ with alphabet $\mathcal{W} = \{\text{क, ख, घ, ग, ज, झ, छ, च}\}$, and thus $H(X) = 3$ bits. Consider the noisy typewriter update process where the probability of making an error in either direction is $1/4$. Evidently, the bound on M is $1/2$ for $n = 1$. Moreover, the Y marginal is also equiprobable, with L bounded by 3 bits.

Take \mathcal{V} to be $\{0, 1\}$ and develop a binary encoding scheme using graph embedding methods. Draw a graph where the vertices are the symbols and the edges are labeled with total transition probabilities. The result is a weighted adjacency graph, a weighted version of the adjacency graphs in [10], [11], as shown in Fig. 3(a).

Suppose that the edit distance is the Hamming distance. Now try to embed this adjacency graph into a hypercube of a given size, first considering size 3. The adjacency graph is exactly embeddable into the hypercube, as shown in Fig. 3(b). If it were not exactly embeddable, some of the low weight edges might have to be broken. After embedding into the hypercube, use the binary reflected Gray code (see [12] and Fig. 3(c) for a description) to assign codewords through correspondence.

Clearly the code is lossless so the error rate is $\Delta = 0$. Since all codewords are of length 3, clearly $K = L = 3$. To compute M , notice that any source symbol is perturbed to any one of its neighbors with probability $1/2$. Further notice that the Hamming distance between neighbors in the hypercube is 1. Thus $M = 1/2$. This encoding scheme achieves the entropy bounds $H(X)$ and $H(Y)$. It also achieves the $n = 1$ lower bound for M and is thus optimal for $n = 1$.

Since the embedding relation is true for $n = 1$, it is also true that n -fold Cartesian products of the adjacency graph are

embeddable into n -fold Cartesian products of the hypercube. Such a scheme would achieve rates of $K = 3$ bits and $L = 3$ bits. It would also achieve M of $\frac{1}{n} \Pr[X_1^n \neq Y_1^n]$ since the Cartesian product of the adjacency graph exactly represents edit costs of 1. For each n , this matches the lower bound (1), and is thus optimal. Furthermore, asymptotically in n , the triple $(K, L, M) = (3, 3, 0)$ is achievable.

Observe that embeddability into a graph where graph distance corresponds to edit distance seems to be sufficient to guarantee good performance; we will explore this in detail in the sequel.

Similar constructions are possible for variable-length codes. When using such codes, the appropriate edit distance might be the Levenshtein distance, so a minimal change code-labeled Levenshtein distance graph rather than a Gray code-labeled hypercube would be used. When embedding in other graphs, codeword lengths must also be taken into account. If a common Huffman code for p_X and for p_Y is embeddable (with matched vertex labels) in the Levenshtein graph, then minimal K , L , and M are simultaneously achievable.

V. GENERAL CHARACTERIZATIONS

Using the insights garnered from the example, detailed characterizations of the set of achievable rate–malleability triples are obtained. For variable-length coding, results are expressed in terms of the solution to an error-tolerant attributed subgraph isomorphism problem [4].

A. Error-Tolerant Attributed Subgraph Isomorphism

A vertex-attributed graph is a three-tuple $G = (V, E, \mu)$, where V is the set of vertices, $E \subseteq V \times V$ is the set of edges, and $\mu : V \rightarrow \mathcal{V}^*$ is a function assigning labels to vertices. The set of labels is denoted \mathcal{V}^* .

Definition 4: Consider two vertex-attributed graphs $G = (V(G), E(G), \mu_G)$ and $H = (V(H), E(H), \mu_H)$. Then G is said to be *embeddable* into H if H has a subgraph isomorphic to G . That is, there is an injective map $\phi : V(G) \rightarrow V(H)$ such that $\mu_G(v) = \mu_H(\phi(v))$ for all $v \in V(G)$ and that $(u, v) \in E(G)$ implies $(\phi(u), \phi(v)) \in E(H)$. This is denoted as $G \rightsquigarrow H$.

Several graph editing operations may be defined, such as substituting a vertex label, deleting a vertex, deleting an edge, and inserting an edge. An edited graph is denoted through the operator $\mathcal{E}(\cdot)$ corresponding to the sequence of graph edit operations $\mathcal{E} = (e_1, \dots, e_k)$. There is a cost associated with each sequence of graph edit operations.

Definition 5: Given two graphs G and H , an *error-tolerant attributed subgraph isomorphism* ψ from G to H is the composition of two operations $\psi = (\mathcal{E}, \phi_{\mathcal{E}})$ where

- \mathcal{E} is a sequence of graph edit operations such that there exists an $\mathcal{E}(G)$ that satisfies $\mathcal{E}(G) \rightsquigarrow H$.
- $\phi_{\mathcal{E}}$ is an embedding of $\mathcal{E}(G)$ into H .

Definition 6: The *subgraph distance* $\rho(G, H)$ is the cost of the minimum cost error-correcting attributed subgraph isomorphism ψ from G to H .

Note that in general, $\rho(G, H) \neq \rho(H, G)$.

B. Closeness Vitality

The subgraph isomorphism cost structure for malleable coding is based on a graph theoretic quantity *closeness vitality* [13]. An edge vitality index is the difference between some functional of a graph and that same functional of the graph with an edge removed.

Let $f_W(G)$ of a graph G be the sum of the distances of all vertex pairs:

$$f_W(G) = \sum_{v \in V} \sum_{w \in V} d(v, w).$$

Definition 7: The *closeness vitality* $\text{cv}(G, r)$ of graph G with respect to edge r is: $\text{cv}(G, r) = f_W(G) - f_W(G/r)$.

C. \mathfrak{P}_V Characterization

We are concerned with the error-tolerant embedding of an attributed, weighted source adjacency graph into the graph induced by a \mathcal{V}^* -space edit distance. Edge deletion is the only graph editing operation that we need.

First consider the delay-free case, $n = 1$. A source $p(X, Y)$ and an edit distance $d(\cdot, \cdot)$ are given. Huffman coding provides the minimal redundancy instantaneous code and achieves expected performance $H(X) \leq K \leq H(X) + 1$. Similarly, a Huffman code for Y yields $H(Y) \leq L \leq H(Y) + 1$. The rate loss for using an incorrect Huffman code is essentially a divergence quantity [9]. A source code may be thought of in terms of a random variable, here Z . For a given Z , there are several Huffman codes: those arising from different labelings of the code tree and also perhaps different trees [14]. Let us denote the set of all Huffman codes for Z as \mathcal{H}_Z .

Since K and L are fixed by the choice of Z , all that remains is to determine the set of achievable M . Let G be the graph induced by the edit distance $d(\cdot, \cdot)$, and d_G its path metric. The graph G is intrinsically labeled. Let A be the weighted adjacency graph of the source $p(X, Y)$, with vertices \mathcal{W} , edges $E(A) \subseteq \mathcal{W} \times \mathcal{W}$, and labels given by a Huffman code. That is, $A = (\mathcal{W}, E(A), f_E)$ for some $f_E \in \mathcal{H}_Z$. There is a path semimetric, d_A , associated with the graph A .

The basic problem is to solve the error-tolerant subgraph isomorphism problem of embedding A into G . In general for $n = 1$, the malleability cost under edit distance d_G when using the source code f_E is

$$M = \sum_{x \in \mathcal{W}} \sum_{y \in \mathcal{W}} p(x, y) d_G(f_E(x), f_E(y)).$$

The smallest malleability possible is when A is a subgraph of G , and then

$$\begin{aligned} M_{\min} &= \sum_{x \in \mathcal{W}} \sum_{y \in \mathcal{W}} p(x, y) d_A(x, y) \\ &= \sum_{x \in \mathcal{W}} \sum_{y \in \mathcal{W}} p(x, y) d_G(f_E(x), f_E(y)) \\ &= E[f_W(A)] = \Pr[X \neq Y]. \end{aligned}$$

If edges in A need to be broken for embedding, M increases. If an edge \bar{e} is removed from the graph A , the resulting

graph A/\bar{e} induces its own path semimetric $d_{A/\bar{e}}$. The cost of removing edge \bar{e} from the graph A is:

$$\sum_{x, y \in \mathcal{W}} p(x, y) [d_{A/\bar{e}}(f_E(x), f_E(y)) - d_A(f_E(x), f_E(y))],$$

which is the following function of the associated removal operation e :

$$C(e) = -E[\text{cv}(A, \bar{e})].$$

If \mathcal{E} is a sequence of edge removals, $\bar{\mathcal{E}}$, then $C(\mathcal{E}) = -E[\text{cv}(A, \bar{\mathcal{E}})]$. Putting things together, \mathfrak{P}_V contains any point

$$\begin{aligned} K &= H(X) + D(p_X \| p_Z) + 1, \\ L &= H(Y) + D(p_Y \| p_Z) + 1, \\ M &= M_{\min} + \min_{f_E \in \mathcal{H}_Z} \rho(A, G). \end{aligned}$$

Increasing the block length beyond $n = 1$ may improve performance, which we show in the following.

Theorem 1: Consider a source $p(X, Y)$ with associated (unlabeled) weighted adjacency graph A and an edit distance d with associated graph G . For any n , let $\mathfrak{P}_V^{(ach)}$ be the set of triples (K, L, M) that are computed, by allowing an arbitrary choice of the memoryless random variable $p(Z_1^n)$, as follows:

$$\begin{aligned} K &= H(X) + D(p_X \| p_Z) + \frac{1}{n}, \\ L &= H(Y) + D(p_Y \| p_Z) + \frac{1}{n}, \\ M &= \frac{1}{n} \Pr[X_1^n \neq Y_1^n] + \frac{1}{n} \min_{f_E \in \mathcal{H}_{Z_1^n}} \rho((\mathcal{W}^n, E(A), f_E), G). \end{aligned}$$

Then the set of triples $\mathfrak{P}_V^{(ach)}$ is achievable instantaneously.

The theorem, proven in [6], states that error-tolerant subgraph isomorphism implies achievable malleability. The choice of the auxiliary random variable Z is open to optimization. If minimal rates are desired, p_Z must be on the geodesic connecting p_X and p_Y . If Z is not on the geodesic, then there is some rate loss, but perhaps also some malleability gains.

When $p_{Y|X}$ is a stationary update process, the simple lower bounds might be tight to this achievable region.

Corollary 1: Consider a source as given above in Theorem 1. If $p_{Y|X}$ is stationary, $p_X = p_Y$ is $|\mathcal{V}|$ -adic, and there is a Huffman-labeled A for $p_X = p_Y$ that is an isometric subgraph of G , then the block length n lower bound $(H(X), H(Y), \frac{1}{n} \Pr[X_1^n \neq Y_1^n])$ is tight to this achievable region for every n , and in particular to $(H(X), H(Y), 0)$ for large n .

D. \mathfrak{P}_B Characterization

Now we turn our attention to the block-coding problem. For \mathfrak{P}_B , we use a joint typicality graph rather than the weighted adjacency graph used for \mathfrak{P}_V . Additionally we focus on binary block codes under Hamming edit distance, so we are concerned only with hypercubes rather than general edit distance graphs. We use standard typicality notations, definitions, and arguments from [15].

For the bivariate distribution $p_{X,Y}$, define a square matrix called the *strong joint typicality matrix* $A_{[XY]}^n$ as follows. There is one row (and column) for each sequence in $S_{[X]}^n \cup$

$S_{[Y]\delta}^n$. The entry with row corresponding to x_1^n and column corresponding to y_1^n receives a one if (x_1^n, y_1^n) is strongly jointly typical and zero otherwise.

Let us temporarily restrict to stationary update: $\mathcal{P} = \{p(x, y) \mid p(x) = p(y)\}$. Asymptotically, $A_{[XY]}^n$ will have approximately equal numbers of ones in all columns and in all rows. Think of $A_{[XY]}^n$ as the adjacency matrix of a graph, where the vertices are sequences and edges connect sequences that are jointly typical with one another.

Proposition 1: Take $A_{[XY]}^n$ for some source in \mathcal{P} as the adjacency matrix of a graph \mathcal{G}^n . The number of vertices in the graph will satisfy

$$(1 - \delta)2^{n(H(X) - \psi)} \leq |V(\mathcal{G}^n)| \leq 2^{n(H(X) + \psi)},$$

where $\psi \rightarrow 0$ as $n \rightarrow \infty$ and $\delta \rightarrow 0$. The degree of each vertex, \deg_v , will concentrate as

$$2^{n(H(Y|X) - \nu)} \leq \deg_v \leq 2^{n(H(Y|X) + \nu)},$$

where $\nu \rightarrow 0$ as $n \rightarrow \infty$ and $\delta \rightarrow 0$.

The basic topology of the strongly typical set is asymptotically a $2^{nH(Y|X)}$ -regular graph on $2^{nH(X)}$ vertices. Graph embedding ideas then yield a theorem on block coding achievability:

Theorem 2: For a source $p(x, y) \in \mathcal{P}$ and the Hamming edit distance, a triple $(K, K, M = M_{\min})$ is achievable if $\mathcal{G}^n \rightsquigarrow H_{nK}$, where H_{nK} is the hypercube of size nK .

Using this result, we argue that a linear increase in malleability is at exponential cost in code length. A simple counting argument leads to a condition for embeddability.

Theorem 3: For a source $p(x, y) \in \mathcal{P}$, if asymptotically $\mathcal{G}^n \rightsquigarrow H_{nK}$ then

$$nK \geq \max\left(nH(X), 2^{nH(Y|X)}\right). \quad (2)$$

The space $S^n \triangleq S_{[X]\delta}^n \cup S_{[Y]\delta}^n$ with the corresponding path metric, d_A induced by $A_{[XY]}^n$ is a metric space. Hypercubes with their natural path metric, d_G , are also metric spaces. Rather than requiring absolutely minimal nM , it can be noted that M is asymptotically zero when the Lipschitz constant associated with the mapping between the source space and the representation space has nice properties in n .

Definition 8: A mapping between metric spaces $f : (S^n, d_{A^n}) \rightarrow (\mathcal{V}^{nK}, d_{G^n})$ is called *Lipschitz continuous* if

$$d_{G^n}(f(x_1), f(x_2)) \leq C d_{A^n}(x_1, x_2)$$

for some constant C and for all $x_1, x_2 \in S^n$. The smallest such C is the *Lipschitz constant*, $\text{Lip}[f]$.

We can bound the malleability of a coding scheme that only represents sequences in S^n in terms of the Lipschitz constant.

Theorem 4: For a coding scheme f_E that only represents sequences in $S^n = S_{[X]\delta}^n \cup S_{[Y]\delta}^n$,

$$M \leq \frac{\text{Lip}[f_E]}{n} (1 + \delta \text{diam}(\mathcal{G}^n)),$$

where $\text{diam}(\cdot)$ is the graph diameter.

Results from theoretical computer science [6] and some source coding constructions [5] may provide further characterization of $\text{Lip}[f_E]$.

VI. DISCUSSION AND CONCLUSIONS

We have formulated information theoretic problems motivated by costly writing on storage media. The problems exhibit a trade-off between compression efficiency and the costs incurred when updating using random access editing.

For the zero-error problem, we found that the subgraph distance between a source graph and a storage medium graph determines the rate–malleability relation. Since index assignment for joint source channel coding, signal constellation labeling, and this problem are similar, it is not surprising that Gray codes arise in each [12], [16]. All involve a transformation of objects of one kind into objects of a new kind so that the distances in the two spaces are approximately equal [8].

For block coding, we found that if minimal malleability costs are desired, then a rate penalty that is exponential in the conditional entropy of the update process must be paid. That is, unless the two versions of the source are very strongly correlated (conditional entropy logarithmic in block length), rate exponentially larger than entropy is needed. If we require malleability $M = O(1/n)$, then rates K and L must be $\Omega(\frac{1}{n}2^n)$.

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